

NDA SECURITIES LIMITED



Member : National Stock Exchange of India Ltd.
: Bombay Stock Exchange Ltd.
: National Securities Depository Ltd.

Registered & Corporate Office : E-157, 2nd Floor, Kalkaji, New Delhi-110019
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CIN : L74899DL1992PLC050366

Ref.: SEBI/HO/MIRSD/ DOP/P/CIR/2021/676 dated December 02, 2021

Date: 05.09.2022

Format for Investor Compliant Data to be displayed by Stock Brokers on their respective Websites

Data for Every Month Ending – August, 2022

S.No.	Received From	Carried Forward from Previous Month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution on time (in days)
						Pending for less than 3 Months	Pending for more than 3 Months	
1.	Directly from Investors	0	0	0	0	0	0	0
2.	SEBI (SCOREs)	0	0	0	0	0	0	15
3.	Stock Exchange	0	0	0	0	0	0	15
4.	Other Sources	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	15

Trend of monthly Disposal of Complaints

S.No.	Month	Carried Forward from Previous Month	Received	Resolved	Pending
1	Jan-2022	0	0	0	0
2	Feb-2022	0	0	0	0
3	Mar-2022	0	0	0	0
4	April-2022	0	0	0	0
5	May-2022	0	0	0	0
6	June-2022	0	0	0	0
7	July-2022	0	2	2	0

Trend of Annual Disposal of Complaints

S.No.	Month	Carried Forward from Previous Month	Received	Resolved	Pending
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0

